



# GoalPath Solutions & Fi360

## Questions. Answers.

**Fi360**  
A Broadridge® Company



### **What's the nature of the relationship between GoalPath and Fi360?**

GoalPath is licensing Fi360's Fiduciary Score as one of several fund selection criteria for use within several of their investment strategies.

### **What is the Fi360 Fiduciary Score?**

The Fi360 Fiduciary Score is a peer percentile ranking of an investment against a set of quantitative due diligence criteria selected to reflect prudent fiduciary management. The Fi360 Fiduciary Score is calculated on a monthly basis for investments with at least a three-year history. The score is calculated for open-end mutual funds, exchange-traded funds, collective investment trust funds, and group retirement plan annuities.

### **What market need does the GoalPath/Fi360 relationship address?**

Since fiduciary compliance has hit the mainstream, many market participants have expressed concern about an advisors' ability to apply a fiduciary standard of care to all clients based on the time required to appropriately review and rebalance portfolios.

### **What's the advantage of including Fi360's Scores as a fund selection factor within GoalPath's strategies?**

Including Fi360 Scores as a criteria within GoalPath's fund selection process 'builds-in' scalable fiduciary reviews and rebalancing for advisors who select these strategies. This is a great example of technology driving efficiencies in fiduciary account management.

### **Does use of GoalPath's strategies with the Fi360 Score used as one of several selection criteria eliminate the need for advisors to provide fiduciary monitoring of those investments?**

No. While advisors can delegate certain fiduciary responsibilities, they can't abdicate them. Usage of GoalPath's strategies that leverage Fi360 Scores should help align portfolio construction and rebalancing with a baseline fiduciary standard, but it's still prudent for advisors to monitor all investment strategies on a regular basis.

### **Is Fi360 serving as a sub-advisor on strategies created by GoalPath?**

No, Fi360 is solely serving as a data provider to GoalPath.



## Which GoalPath strategies incorporate Fi360 Scores?

Currently, the 2020 – 2060 GoalPath/Fi360 Conservative, Moderate, and Aggressive Funds use Fi360 Scores in their fund selection methodology.

### Investment List as of May 1, 2022

GoalPath Fi360 Conservative 2020 Fund GoalPath Fi360 Moderate 2020 Fund GoalPath Fi360 Aggressive 2020 Fund	GoalPath Fi360 Conservative 2050 Fund GoalPath Fi360 Moderate 2050 Fund GoalPath Fi360 Aggressive 2050 Fund
GoalPath Fi360 Conservative 2030 Fund GoalPath Fi360 Moderate 2030 Fund GoalPath Fi360 Aggressive 2030 Fund	GoalPath Fi360 Conservative 2060 Fund GoalPath Fi360 Moderate 2060 Fund GoalPath Fi360 Aggressive 2060 Fund
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Disclosures:

For information about investment process, risks, and disclosures please visit:  
<https://www.broadridge.com/cit/goalpath-portfolios>

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